

04 October 2022

### **NOTIFICATION OF INFORMATION SECURITY COMPROMISE**

In compliance with Section 22(1)(b) and (4) of the Protection of Personal Information Act, 4 of 2013 (“POPI Act”), and all other applicable data protection laws and regulations, Boutique Collective Investments (RF) (Pty) Ltd (“BCI”) hereby informs you that there are reasonable grounds to believe that some BCI data subjects’ personal information has been accessed or acquired by an unauthorised party.

#### **The nature of the personal information breach**

One of our operators, FNZ, sent a test report that contained personal information to another client by mistake. The test report was sent to four individuals at the organisation and only one of the four individuals opened the report. The personal information that was shared include investor names, identity numbers, and tax numbers.

#### **Measures taken**

FNZ took immediate steps to ensure the safeguarding of our stakeholders’ information by requesting the deletion of all copies of the files, which has been confirmed by the third party. In the future, test reports will only contain scrambled or sampled data. FNZ will also reinforce its strict data protocols that all employees must follow when sharing data with external parties. This information security compromise was reported to the Information Regulator in South Africa.

#### **Possible consequences of the data breach**

The deletion of the files is unlikely to have any significant consequences, as:

- All files were deleted
- Files were restricted to only four individuals, and only one individual had accessed them; and
- The organisation with who the files were shared is also subject to privacy legislation and is committed to protecting the privacy of data subjects.

#### **Additional measures going forward**

BCI takes the protection of your personal information extremely seriously and would like to reaffirm our commitment to the processing of your personal information in accordance with the provisions of the POPI Act and all other applicable laws and regulations.

Should you require any further information, please do not hesitate to contact us at [clientservices@bcis.co.za](mailto:clientservices@bcis.co.za) or on 021 007 1500.