

Important Notice to Investors – Protection of Personal Information Breach

At FundRock Collective Investments, protecting our clients' personal information is of utmost importance to us. Early July 2026 we detected an information security incident that may have affected certain historical investor documents belonging to investors who used to be invested in the Cadiz funds in 2020.

What happened?

We recently discovered that a file-sharing facility within our website environment, which was originally set up for operational purposes and the exchange of files was not configured as intended. As part of the migration of the Cadiz funds in 2020, certain investor tax certificates were stored in this environment, and unfortunately, some of these documents were indexed by the Bing search engine and could be found through an internet search. As soon as the issue was brought to our attention, we acted immediately to remove access to the affected files, secure the environment and prevent any further indexing of the information.

What information was affected?

The information involved was limited to certain historical investor documents, including tax certificates and related information contained within those records.

What have we done?

Following discovery of the issue, we:

- Removed public access to the affected environment.
- Secured the affected information and strengthened controls.
- Conducted a thorough investigation.
- Followed our incident management and regulatory obligations.

Is my investment account at risk?

Our investigation showed no evidence that any investor accounts were accessed, compromised or used without authorisation as a result of this incident. We would also like to reassure investors that multiple safeguards are in place to protect investor accounts and help prevent unauthorised activity.

Our commitment to you

We understand that incidents of this nature can be concerning and we sincerely apologise for any worry this may have caused. We take our responsibility to protect personal information seriously and remain committed to continually strengthening our systems, controls and processes.

If you have any questions or concerns regarding this matter, please contact our Client Services team.

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