TAX-FREE SAVINGS ACCOUNT TRANSFER
INDIVIDUALS / LEGAL ENTITIES

Fundrock Collective Investments (RF) (Pty) ("FR") Ltd is part of the Apex Group Ltd. FR is a registered Manager of the Fundrock Collective Investments Scheme, approved in terms of the Collective Investments Schemes Control Act, No 45 of 2002.

IMPORTANT INFORMATION

1. This form is to be used by existing investors only, when transferring a Tax-Free Savings Account to Fundrock Collective Investments.
2. Please read the **Terms and Conditions** that apply to this investment. This is available from your financial advisor, the Client Service Centre or at **www.fundrock.co.za**.
3. Please email required documents for processing to **cis-instructions@fundrock.com**.
4. The cut-off for instructions is 14:00, Money Market cut-off is 11:30. If received after the cut-off the next business day pricing will apply.

Important to note regarding Tax-Free Savings Account Transfers

1. Tax-Free Savings transfers will not be processed during the last 10 business days of the tax year end.
2. Fundrock Collective Investments will only receive money directly from the Transferring Product Provider.
3. If the transfer is paid directly into your bank account, this will be considered a Disinvestment/ Withdrawal. Reinvesting this amount into a Tax-Free Savings Account will be considered a new contribution, and will have an impact on the annual and lifetime limits.
4. Once the Tax-Free Savings Account Transfer is processed, the Transferring Product Provider will issue you with a Transfer Certificate which you are required to keep for a period of 5 years.
5. The transfer will be finalised once Fundrock Collective Investments receive the fully completed, dated and signed form, with all the necessary supporting documents. This may take up to 10 business days.
6. The investor assumes complete responsibility for initiating the transfer to FR, which includes coordinating with the transferring management company and adhering to their established procedures.
7. The investor must ensure that proof of payment is received and attached to the transfer form where the transfer is facilitated by an EFT payment.

SECTION 1: CURRENT INVESTOR DETAILS

FR Investor Number / Client Account Number			
Title			
Surname / Entity Name (e.g. company or trust)			
Name of Investor / Authorised contact person			
ID or Passport number / Registration number			
Telephone numbers	Home		Work
	Mobile		
Email address			

SECTION 2: PRODUCT TO BE TRANSFERRED FROM

Product Provider name	
Tax-Free Savings Account Product name	
Tax-Free Savings Account number to be transferred from	
Estimated value of transfer (Rand amount)	
Contact person at Transferring Product Provider	
Contact number	
Email address	

Would you like **all** your units to be transferred: Yes ☐ No ☐

If No, please indicate how your unit trust portfolio/s should be transferred in the table below:

Unit Trust Portfolio	Account Number	Total amounts		Units		Percentage
			or		or	%
			or		or	%
			or		or	%
			or		or	%
			or		or	%
TOTAL						%

Note:
Please ensure that all of the transferring provider's requirements are met when submitting the Tax-Free Savings Account Transfer Request Form to them. If these requirements are not met the transfer process cannot be commenced

Signature of transferor Date D D / M M / Y Y Y Y

Name of signatory

SECTION 3: TRANSFER TO (TO BE COMPLETED BY THE RECEIVING PRODUCT PROVIDER)

Product Provider name

Company Registration number

SARS Tax Reference number

Tax-Free Savings Account Product name

Tax-Free Savings Account number to be transferred into

Contact number

Email address

Email address for receipt of Tax-Free Savings Account Transfer Certificate

Reference number for transfer

Signature of transferee Date D D / M M / Y Y Y Y

Name of signatory

SECTION 4: BANKING DETAILS OF RECEIVING PRODUCT PROVIDER (if applicable)

Account holder

Bank

Branch name Branch code

Account number

Account type ☐ Current ☐ Savings ☐ Transmission

SECTION 5: INVESTOR DECLARATION

TAX-FREE INVESTMENTS

Tax-Free Savings Investments Only (Please tick box to confirm).

☐ I confirm that I do not have any other tax-free savings investments, and that I have been informed and understand the tax-free saving limits under Section 12(T) of the Income Tax Act and the consequences of exceeding these limits.

1. I confirm that all information provided in this form and all other documents signed by me in connection with this application, whether in my handwriting or not, are true and correct.
2. Where this form is signed in a representative capacity I confirm that I have the necessary authority to do so.
3. I confirm that FR may accept instructions from any authorised third-party who has been authorised by me in writing.
4. I have read, understood and agree to the latest **Terms and Conditions** on the FR website **www.fundrock.co.za.**
5. Where signed in the capacity as legal guardian, I explicitly consent to the use of the minor's personal details contained herein.
6. FR will accept electronic signatures accompanied by a certificate or audit trail.
7. I hereby indemnify and hold FR, its affiliates, directors, officers, employees, and agents harmless from and against any and all claims, losses, liabilities, damages, costs, and expenses (including reasonable legal fees) arising from or in connection with the use of electronic signatures, including any fraud, misrepresentation, or unauthorised use by any person.

PRIVACY STATEMENT

Fundrock Collective Investments (RF) (Pty) Ltd ("FR") takes your privacy and the protection of your personal information seriously, and we will only use your personal information in accordance with Applicable Laws and the FR Privacy Policy. It is important to us that you understand how we obtain, process, store, and share your information. We may disclose your information to other entities in the Apex Group and/or its subsidiaries, agents, partners, or sub-contractors, for purposes as described in this application or related privacy policies. By submitting any personal information to FR you provide us with your consent to, alternatively acknowledge, the processing and sharing of your personal information and/or that personal information which you have provided that relates to other data subjects, as set out in the Privacy Policy. Please do not submit any personal information to FR if you do not agree/ object to any of the provisions of the Privacy Policy. Should you object to the Privacy Policy, or parts thereof, FR may not be able to provide its products and/or services to you. To access the FR Privacy Policy please click on the **link** or on the FR website **www.fundrock.co.za**.

Signature of investor(s) or legal guardian		Date D D / M M / Y Y Y Y
Name of signatory		

POPIA

By signing this application, I consent, alternatively acknowledge, that I have read and understood the Privacy Policy and I consent, alternatively acknowledge, to the collection, processing, storage, and internal sharing of my personal information by FR and members of the Apex Group, in accordance with the Protection of Personal Information Act, 4 of 2013 (POPIA).

SECTION 6: RECEIVING PRODUCT PROVIDER DECLARATION

We will accept the above Tax-Free Savings Account transfer and confirm that:

1. The above transfer request will be processed in terms of the Regulations published in terms of Section 12T(8) of the Income Tax Act; and
2. The account to be transferred into is a Tax-Free Savings Account as defined in Section 12T of the Income Tax Act.

Signature of representative		Date D D / M M / Y Y Y Y
Name of signatory		

CONTACT DETAILS

Physical Address

Fundrock Collective Investments
Catnia Building
Bella Rosa Village
Bella Rosa Street
Bellville
7530

Custodian / Trustee

The Standard Bank of South Africa Limited
Tel: +27 21 441 4100

Contact us

Tel: +27 21 007 1500/1/2 or +27 21 879 9937/9
Email: sa-clientsupport@fundrock.com | sa-compliance@fundrock.com
Visit our website: **www.fundrock.co.za**

Should you have any complaints, please send an email to sa-complaints@fundrock.com

ASISA AN ORDINARY MEMBER OF THE ASSOCIATION FOR SAVINGS & INVESTMENT SA