

ANNEXURE: TAX RESIDENCY SELF-CERTIFICATION INDIVIDUALS

Fundrock Collective Investments (RF) (Pty) Ltd ("FR") is part of the Apex Group Ltd. FR is a registered Manager of the Fundrock Collective Investments Scheme, approved in terms of the Collective Investments Schemes Control Act, No 45 of 2002.

IMPORTANT INFORMATION

- Please note that this form must be completed if you are investing as an individual.
- Tax regulations require us to collect information about each investor's tax residency. Tax regulations refers to regulations created to enable automatic exchange of information and include Foreign Account Tax Compliance Act (FATCA), and the OECD Common Reporting Standard (CRS) for Automatic Exchange of Financial Account Information.
- Please ensure that you read the **Terms and Conditions**. This is available from your financial advisor, the Client Service Centre or at www.fundrock.co.za.
- Please complete all relevant sections of this Annexure and email it for processing to cis-instructions@fundrock.com.
- Any correction changes to the application must be initialised by the investor.

SECTION 1: INVESTOR DETAILS

PERSONAL DETAILS

FR Investor Number

Title	Surname			Initials										
First Name(s)														
ID Number/ Passport Number (if foreign national*)														
Date of Birth			/			/								
Country of Birth				Passport Expiry Date*			/			/				
Country of Tax Residence				Tax Identification Number										
South African resident				Non resident										
If you are an emigrant, please complete the following: Is the investment being made from a blocked Rand account?												Yes	No	

SECTION 2: TAX RESIDENCY

TAX DETAILS

Are you a resident for tax purposes anywhere other than South Africa? Yes No

Please complete the table below:

Country of Tax Residence	Tax Identification Number*

*If you are unable to provide a Tax Identification Number, please tick one of the following reasons below:

- TIN not issued by jurisdiction; or
- TIN not required under domestic law; or
- Not required to register for tax; or
- Other

Reason for no tax identification number

I hereby confirm the tax information provided is correct. I hereby acknowledge that FR is legally obliged to provide the South African Revenue Service (SARS) with information provided to FR when I invest or transact with FR to adhere to the Foreign Accounts Tax Compliance Act ('FATCA') and the Organisation for Economic Co-operation and Development's (OECD) Common Reporting Standards (CRS).

SECTION 3: DECLARATION

1. I confirm that all information provided in this form and all other documents signed by me in connection with this application, whether in my handwriting or not, are true and correct.
2. Where this application form is signed in a representative capacity, I confirm that I have the necessary authority to do so.
3. I confirm that FR may accept instructions from any authorised third-party who has been authorised by me in writing.
4. I have read and understood the relevant fund factsheets (minimum disclosure documents) of the unit trusts I wish to invest in.
5. I have read, understood and agree to the latest **Terms and Conditions** on the website www.fundrock.co.za.
6. Where signed in the capacity as legal guardian, I explicitly consent to the use of the minor's personal details contained herein.
7. FR will accept electronic signatures accompanied by a certificate or audit trail.
8. I hereby indemnify and hold FR, its affiliates, directors, officers, employees, and agents harmless from and against any and all claims, losses, liabilities, damages, costs, and expenses (including reasonable legal fees) arising from or in connection with the use of electronic signatures, including any fraud, misrepresentation, or unauthorised use by any person.

PRIVACY STATEMENT

Fundrock Collective Investments (RF) (Pty) Ltd ("FR") takes your privacy and the protection of your personal information seriously, and we will only use your personal information in accordance with Applicable Laws and the FR Privacy Policy. It is important to us that you understand how we obtain, process, store, and share your information. We may disclose your information to other entities in the Apex Group and/or its subsidiaries, agents, partners, or sub-contractors, for purposes as described in this application or related privacy policies. By submitting any personal information to FR you provide us with your consent to, alternatively acknowledge, the processing and sharing of your personal information and/or that personal information which you have provided that relates to other data subjects, as set out in the Privacy Policy. Please do not submit any personal information to FR if you do not agree/ object to any of the provisions of the Privacy Policy. Should you object to the Privacy Policy, or parts thereof, FR may not be able to provide its products and/or services to you. To access the FR Privacy Policy please click on the [link](#) or on www.fundrock.co.za.

Signature of investor(s) or legal guardian/
Person acting on behalf of investor

Date / /

Name of signatory

POPIA

By signing this application, I consent, alternatively acknowledge, that I have read and understood the Privacy Policy and I consent, alternatively acknowledge, to the collection, processing, storage, and internal sharing of my personal information by FR and members of the Apex Group, in accordance with the Protection of Personal Information Act, 4 of 2013 (POPIA).

CONTACT DETAILS

Physical Address

Fundrock Collective Investments
Catnia Building
Bella Rosa Village
Bella Rosa Street
Bellville
7530

Contact us

Tel: +27 21 007 1500/1/2 or +27 21 879 9937/9
Email: sa-clientsupport@fundrock.com | sa-compliance@fundrock.com
Visit our website: www.fundrock.co.za

Should you have any complaints, please send an email to sa-complaints@fundrock.com

Custodian / Trustee

The Standard Bank of South Africa Limited
Tel: +27 21 441 4100

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AN ORDINARY MEMBER OF THE ASSOCIATION FOR SAVINGS & INVESTMENT SA