



INDEMNITY FORM

INDIVIDUALS/LEGAL ENTITIES

Fundrock Collective Investments (RF) (Pty) Ltd ("FR") is part of the Apex Group Ltd. FR is a registered Manager of the Fundrock Collective Investments Scheme, approved in terms of the Collective Investments Schemes Control Act, No 45 of 2002.

IMPORTANT INFORMATION

1. This form is to be used by existing investors only.
2. Please read the **Terms and Conditions** that apply to this investment. This is available from your financial advisor, the Client Service Centre or at www.fundrock.co.za.
3. Please email required documents for processing to cis-instructions@fundrock.com.
 - A copy of South African green bar-coded Identity Document/ID Card (South African citizens) or valid passport (if Foreign National)
 - Proof of your residential address, not older than three (3) months

SECTION 1: INVESTOR DETAILS

FR Investor Number / Client Account Number

Title

Surname / Entity Name (e.g. company, trust)

Name of Investor / Authorised Contact Person

ID or Passport Number / Registration Number

Telephone (H)

(W)

Mobile

Email

SECTION 2: BANK ACCOUNT DETAILS

Please include an ID and Utility Bill with the residential address of the account holder of the third party bank account not older than three (3) months.

Account Holder

Name of Bank

Branch Name

Branch Code

Account Number

Account Type

Current

Savings

Transmission

I hereby indemnify and hold Fundrock Collective Investments (RF) (Pty) Ltd harmless from any and all losses, damages, fines, taxes, penalties or claims whatsoever arising from or connected with the payment by Fundrock Collective Investments (RF) (Pty) Ltd to any person or entity in respect of the above mentioned instruction.

Signature of Bank Account Holder

Date

Name of Signatory

ID or Passport Number (If Foreign National)

Signature of Investor(s) or Legal Guardian/ Person acting on behalf of Investor

Date

Authorised Signatory

Name of Signatory

Authorised Signatory

Name of Signatory

Authorised Signatory

Name of Signatory

SECTION 3: INVESTOR DECLARATION

1. I/We confirm that all information provided in this form is true and correct.
2. Where this application form is signed in a representative capacity, I confirm that I have the necessary authority to do so.
3. I/We confirm that FR may accept instructions from any authorised third-party who has been authorised by me/us in writing.
4. I/We have read and understood the relevant fund factsheets (minimum disclosure documents) of the unit trusts I/we wish to invest in.
5. I/We have read, understood and agree to the latest **Terms and Conditions** on the website www.fundrock.co.za.
6. Where signed in the capacity as legal guardian, I explicitly consent to the use of the minor's personal details contained herein.
7. FR will accept electronic signatures accompanied by a certificate or audit trail.
8. I/We hereby indemnify and hold FR, its affiliates, directors, officers, employees, and agents harmless from and against any and all claims, losses, liabilities, damages, costs, and expenses (including reasonable legal fees) arising from or in connection with the use of electronic signatures, including any fraud, misrepresentation, or unauthorised use by any person.
9. As per the Terms and Conditions, I/we agree that FR may recover all direct and indirect administrative, tracing, or related costs incurred where my/our investment is treated as an unclaimed asset or where I/we failed to maintain up to date FICA, risk rating or contact information. Such costs may be deducted from the value of the investment.

PRIVACY STATEMENT

Fundrock Collective Investments (RF) (Pty) Ltd ("FR") takes your privacy and the protection of your personal information seriously, and we will only use your personal information in accordance with Applicable Laws and the FR Privacy Policy. It is important to us that you understand how we obtain, process, store, and share your information. We may disclose your information to other entities in the Apex Group and/or its subsidiaries, agents, partners, or sub-contractors, for purposes as described in this application or related privacy policies. By submitting any personal information to FR you provide us with your consent to, alternatively acknowledge, the processing and sharing of your personal information and/or that personal information which you have provided that relates to other data subjects, as set out in the Privacy Policy. Please do not submit any personal information to FR if you do not agree/ object to any of the provisions of the Privacy Policy. Should you object to the Privacy Policy, or parts thereof, FR may not be able to provide its products and/or services to you. To access the FR Privacy Policy please click on the [link](#) or on www.fundrock.co.za.

Signature of Investor(s) or Legal Guardian/ Person acting on behalf of Investor

Date / /

Authorised Signatory

Name of Signatory

Authorised Signatory

Name of Signatory

Authorised Signatory

Name of Signatory

CONTACT DETAILS

Physical Address

Fundrock Collective Investments
Catnia Building
Bella Rosa Village
Bella Rosa Street
Bellville
7530

Contact us

Tel: +27 21 007 1500/1/2 or +27 21 879 9937/9
Email: sa-clientsupport@fundrock.com | sa-compliance@fundrock.com
Visit our website: www.fundrock.co.za

Should you have any complaints, please send an email to sa-complaints@fundrock.com

Custodian / Trustee

The Standard Bank of South Africa Limited
Tel: +27 21 441 4100

ASISA

AN ORDINARY MEMBER OF THE ASSOCIATION FOR SAVINGS & INVESTMENT SA