



FINANCIAL ADVISOR ON-LINE REGISTRATION FORM

Fundrock Collective Investments (RF) (Pty) Ltd ("FR") is part of the Apex Group Ltd. FR is a registered Manager of the Fundrock Collective Investments Scheme, approved in terms of the Collective Investments Schemes Control Act, No 45 of 2002.

IMPORTANT INFORMATION

1. This form is to be used by existing investors only.
2. Please read the **Terms and Conditions** that apply to this investment. This is available from the Client Service Centre or at www.fundrock.co.za.
3. Please email required documents for processing to cis-instructions@fundrock.com.
4. Please note that FR reserves the right to request additional supporting documentation.
5. Login details will be sent to you via email.

BROKER HOUSE DETAILS

New On-line User

FOR BROKER HOUSE ACCESS

Existing Broker House Number

Broker House Name

Registration Number

FOR WEB-USER (Participant wishing to have global-access-view to all financial advisors linked to the Broker House)

Title

Surname

First Name(s)

ID Number / Passport Number (if foreign national)

Mobile

Email

FOR FINANCIAL ADVISOR ACCESS

Broker Code

Title

Surname

First Name(s)

ID Number / Passport Number (if foreign national)

Mobile

Email

FOR EXISTING USERS

I hereby request that you change my login/user name,

From (current)

To my ID number

BROKER DECLARATION

1. I confirm that all information provided in this form is true and correct.
2. Where this application form is signed in a representative capacity, I confirm that I have the necessary authority to do so.
3. I confirm that FR may accept instructions from any authorised third-party who has been authorised by me in writing.
4. I have read and understood the relevant fund factsheets (minimum disclosure documents) of the unit trusts I wish to invest in.
5. I have read, understood and agree to the latest **Terms and Conditions** on the website www.fundrock.co.za.
6. Where signed in the capacity as legal guardian, I explicitly consent to the use of the minor's personal details contained herein.
7. FR will accept electronic signatures accompanied by a certificate or audit trail.
8. I hereby indemnify and hold FR, its affiliates, directors, officers, employees, and agents harmless from and against any and all claims, losses, liabilities, damages, costs, and expenses (including reasonable legal fees) arising from or in connection with the use of electronic signatures, including any fraud, misrepresentation, or unauthorised use by any person.
9. As per the Terms and Conditions, I agree that FR may recover all direct and indirect administrative, tracing, or related costs incurred where my investment is treated as an unclaimed asset or where I failed to maintain up to date FICA, risk rating or contact information. Such costs may be deducted from the value of the investment.

PRIVACY STATEMENT

Fundrock Collective Investments (RF) (Pty) Ltd ("FR") takes your privacy and the protection of your personal information seriously, and we will only use your personal information in accordance with Applicable Laws and the FR Privacy Policy. It is important to us that you understand how we obtain, process, store, and share your information. We may disclose your information to other entities in the Apex Group and/or its subsidiaries, agents, partners, or sub-contractors, for purposes as described in this application or related privacy policies. By submitting any personal information to FR you provide us with your consent to, alternatively acknowledge, the processing and sharing of your personal information and/or that personal information which you have provided that relates to other data subjects, as set out in the Privacy Policy. Please do not submit any personal information to FR if you do not agree/ object to any of the provisions of the Privacy Policy. Should you object to the Privacy Policy, or parts thereof, FR may not be able to provide its products and/or services to you. To access the FR Privacy Policy please click on the [link](#) or on www.fundrock.co.za.

Signature of financial advisor(s) and assistant(s) to financial advisor (where applicable)		Date	DD DD / MM MM / YY YY YY YY
Authorised signatory		Name of signatory	
Authorised signatory		Name of signatory	
Authorised signatory		Name of signatory	

POPIA

By signing this application, I consent, alternatively acknowledge, that I have read and understood the Privacy Policy and I consent, alternatively acknowledge, to the collection, processing, storage, and internal sharing of my personal information by FR and members of the Apex Group, in accordance with the Protection of Personal Information Act, 4 of 2013 (POPIA).

CONTACT DETAILS

Physical Address

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Contact us

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Email: sa-clientsupport@fundrock.com | sa-compliance@fundrock.com
Visit our website: www.fundrock.co.za

Should you have any complaints, please send an email to sa-complaints@fundrock.com



AN ORDINARY MEMBER OF THE ASSOCIATION FOR SAVINGS & INVESTMENT SA

Custodian / Trustee

The Standard Bank of South Africa Limited
Tel: +27 21 441 4100