



DIVIDEND WITHHOLDING TAX EXEMPTION FORM

INDIVIDUALS/LEGAL ENTITIES

Fundrock Collective Investments (RF) (Pty) ("FR") Ltd is part of the Apex Group Ltd. FR is a registered Manager of the Fundrock Collective Investments Scheme, approved in terms of the Collective Investments Schemes Control Act, No 45 of 2002.

IMPORTANT INFORMATION

1. This form is to be used by existing investors only.
2. Please read the **Terms and Conditions** that apply to this investment. This is available from your financial advisor, the Client Service Centre or at www.fundrock.co.za.
3. Please email required documents for processing to cis-instructions@fundrock.com.

SECTION 1: BENEFICIAL OWNER DETAILS

FR Investor Number / Client
Account Number

Title

Surname / Entity Name (e.g. company, trust)

Name of Broker / Authorised Contact Person

ID or Passport Number /
Registration Number

Income Tax Ref Number

VAT Number

Practice Name (FSP)

FSP Licence Number

Country of Residence

Country where Tax is Payable

Telephone (H)

(W)

Mobile

Email

Physical / Registered Address
(Head Office)

Postal Code

Business Operating
Physical Address

If the same as above, please check this box

Postal Code

NATURE OF PERSON / ENTITY:

Individual

Trust

RSA Government, provincial administration, municipalites

Listed Company

Unlisted Company

Retirement Fund (pension, provident, benefit, RA, etc.)

Other (please provide a description / explanation of the nature of the person / entity below)

RESIDENCY:

South African Resident

Non-Resident

SECTION 2: REASON FOR EXEMPTION

- Par (a) of section 64F - A company which is resident in South Africa
- Par (b) of section 64F - The Government, provincial government or municipality (of the Republic of South Africa)
- Par (c) of section 64F - A public benefit organisation (approved by SARS ito section 30(3) of the Act)
- Par (d) of section 64F - A trust contemplated in section 37A of the Act (mining rehabilitation trusts)
- Par (e) of section 64F - An institution, body, or board contemplated in section 10(1)(cA) of the Act
- Par (f) of section 64F - A fund contemplated in section 10(1)(d)(i) or (ii) of the Act (pension fund, pension preservation fund, provident fund, provident preservation fund, retirement annuity fund, beneficiary fund or benefit fund)
- Par (g) of section 64F - A person contemplated in section 10(1)(t) of the Act (Council for Scientific and Industrial Research, South African Inventions Development Corporation or the South African National Roads Agency Limited)
- Par (h) of section 64F - A shareholder in a registered micro business, as defined in the Sixth Schedule, paying that dividend, to the extent that the aggregate amount of dividends paid by that registered micro business to its shareholders during the year of assessment in which that dividend is paid does not exceed the amount of R200 000
- Par (i) of section 64F - A small business funding entity as contemplated in section 10(1)cQ.
- Par (j) of section 64F - A person that is not a resident and the dividend is a dividend contemplated in paragraph (b) of the definition of 'dividend' in section 64D (i.e. a dividend paid by a non resident company that is listed in South Africa).
- Par (k) of section 64F - Portfolio of a collective investment schemes in securities.
- Par (l) of section 64F - Any person insofar as the dividend constitutes income of that person (i.e. falls into the normal tax system).
- Par (n) of section 64F - Fidelity and indemnity funds contemplated in section 10(1)(d)(iii) - i.e. only the two, not the other entities mentioned
- Other reason (*Please provide details below*):.....

SECTION 3: DECLARATION AND UNDERTAKING

Declaration and Undertaking

Declaration in terms of sections 64FA(1)(a)(i), 64G(2)(a)(aa) or 64H(2)(a)(aa) of the Act:

I _____ (full names in print), the undersigned hereby declare that dividends paid to the investor is exempt, or would have been exempt had it not been a distribution of an asset in specie, from the dividends tax in terms of the paragraph of section 64F of the Act indicated above.

Undertaking in terms of sections 64FA(1)(a)(ii), 64G(2)(a)(bb) or 64H(2)(a)(bb) of the Act:

I _____ (full names in print), the undersigned undertake to forthwith inform FR in writing should the circumstances of the investor referred to in the declaration above change.

Signature of investor(s) or legal guardian/ Person acting on behalf of investor		Date
		D D / M M / Y Y Y Y
Authorised signatory		Name of signatory
Authorised signatory		Name of signatory
Authorised signatory		Name of signatory

SECTION 4: INVESTOR DECLARATION

1. I/We confirm that all information provided in this form is true and correct.
2. Where this application form is signed in a representative capacity, I confirm that I have the necessary authority to do so.
3. I/We confirm that FR may accept instructions from any authorised third-party who has been authorised by me/us in writing.
4. I/We have read and understood the relevant fund factsheets (minimum disclosure documents) of the unit trusts I/we wish to invest in.
5. I/We have read, understood and agree to the latest **Terms and Conditions** on the website www.fundrock.co.za.
6. Where signed in the capacity as legal guardian, I explicitly consent to the use of the minor's personal details contained herein.
7. FR will accept electronic signatures accompanied by a certificate or audit trail.
8. I/We hereby indemnify and hold FR, its affiliates, directors, officers, employees, and agents harmless from and against any and all claims, losses, liabilities, damages, costs, and expenses (including reasonable legal fees) arising from or in connection with the use of electronic signatures, including any fraud, misrepresentation, or unauthorised use by any person.
9. As per the Terms and Conditions, I/we agree that FR may recover all direct and indirect administrative, tracing, or related costs incurred where my/our investment is treated as an unclaimed asset or where I/we failed to maintain up to date FICA, risk rating or contact information. Such costs may be deducted from the value of the investment.

PRIVACY STATEMENT

Fundrock Collective Investments (RF) (Pty) Ltd ("FR") takes your privacy and the protection of your personal information seriously, and we will only use your personal information in accordance with Applicable Laws and the FR Privacy Policy. It is important to us that you understand how we obtain, process, store, and share your information. We may disclose your information to other entities in the Apex Group and/or its subsidiaries, agents, partners, or sub-contractors, for purposes as described in this application or related privacy policies. By submitting any personal information to FR you provide us with your consent to, alternatively acknowledge, the processing and sharing of your personal information and/or that personal information which you have provided that relates to other data subjects, as set out in the Privacy Policy. Please do not submit any personal information to FR if you do not agree/ object to any of the provisions of the Privacy Policy. Should you object to the Privacy Policy, or parts thereof, FR may not be able to provide its products and/or services to you. To access the FR Privacy Policy please click on the [link](#) or on www.fundrock.co.za.

Signature of investor(s) or legal guardian/ Person acting on behalf of investor		Date	DD DD / MM MM / YY YY YY YY
Authorised signatory		Name of signatory	
Authorised signatory		Name of signatory	
Authorised signatory		Name of signatory	

POPIA

By signing this application, I consent, alternatively acknowledge, that I have read and understood the Privacy Policy and I consent, alternatively acknowledge, to the collection, processing, storage, and internal sharing of my personal information by FR and members of the Apex Group, in accordance with the Protection of Personal Information Act, 4 of 2013 (POPIA).

CONTACT DETAILS

Physical Address

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Bella Rosa Street
Bellville
7530

Contact us

Tel: +27 21 007 1500/1/2 or +27 21 879 9937/9
Email: sa-clientsupport@fundrock.com | sa-compliance@fundrock.com
Visit our website: www.fundrock.co.za

Should you have any complaints, please send an email to sa-complaints@fundrock.com

Custodian / Trustee

The Standard Bank of South Africa Limited
Tel: +27 21 441 4100

ASISA

AN ORDINARY MEMBER OF THE ASSOCIATION FOR SAVINGS & INVESTMENT SA