



## CESSION NOTIFICATION

### INDIVIDUALS / LEGAL ENTITIES

Fundrock Collective Investments (RF) (Pty) ("FR") Ltd is part of the Apex Group Ltd. FR is a registered Manager of the Fundrock Collective Investments Scheme, approved in terms of the Collective Investments Schemes Control Act, No 45 of 2002.

#### IMPORTANT INFORMATION

1. This form is to be used by existing investors only.
2. Please read the **Terms and Conditions** that apply to this investment. This is available from your financial advisor, the Client Service Centre or at [www.fundrock.co.za](http://www.fundrock.co.za).
3. Please email required documents for processing to [cis-instructions@fundrock.com](mailto:cis-instructions@fundrock.com).
  - Letter from cessionary institution requesting this session

### SECTION 1: INVESTOR DETAILS

FR Investor Number / Client Account Number

Title

Surname / Entity Name (e.g. company or trust)

Name of Investor / Authorised Contact Person

ID or Passport Number / Registration Number

Telephone Numbers  
Home  
Mobile

Work

Email Address

### SECTION 2: CESSION DETAILS

Cessionary Name (usually a financial institution)

Cessionary Contact Person

Cessionary Telephone Numbers  
Home  
Mobile

Work

Email Address

Residential / Physical / Registered Address

Postal Code

Postal Address (if different from above)

Postal Code

Rand Amount to be ceded:

Units to be ceded:

### SECTION 3: INVESTOR DECLARATION

1. I confirm that all information provided in this form and all other documents signed by me in connection with this application, whether in my handwriting or not, are true and correct.
2. Where this form is signed in a representative capacity I confirm that I have the necessary authority to do so.
3. I confirm that FR may accept instructions from any authorised third-party who has been authorised by me in writing.
4. I have read, understood and agree to the latest **Terms and Conditions** on the FR website [www.fundrock.co.za](http://www.fundrock.co.za).
5. Where signed in the capacity as legal guardian, I explicitly consent to the use of the minor's personal details contained herein.
6. FR will accept electronic signatures accompanied by a certificate or audit trail.
7. I hereby indemnify and hold FR, its affiliates, directors, officers, employees, and agents harmless from and against any and all claims, losses, liabilities, damages, costs, and expenses (including reasonable legal fees) arising from or in connection with the use of electronic signatures, including any fraud, misrepresentation, or unauthorised use by any person.

#### PRIVACY STATEMENT

Fundrock Collective Investments (RF) (Pty) Ltd ("FR") takes your privacy and the protection of your personal information seriously, and we will only use your personal information in accordance with Applicable Laws and the FR Privacy Policy. It is important to us that you understand how we obtain, process, store, and share your information. We may disclose your information to other entities in the Apex Group and/or its subsidiaries, agents, partners, or sub-contractors, for purposes as described in this application or related privacy policies. By submitting any personal information to FR you provide us with your consent to, alternatively acknowledge, the processing and sharing of your personal information and/or that personal information which you have provided that relates to other data subjects, as set out in the Privacy Policy. Please do not submit any personal information to FR if you do not agree/ object to any of the provisions of the Privacy Policy. Should you object to the Privacy Policy, or parts thereof, FR may not be able to provide its products and/or services to you. To access the FR Privacy Policy please click on the [link](#) or on the FR website [www.fundrock.co.za](http://www.fundrock.co.za).

Signature of investor(s) or legal guardian

Date

  /   /    

Name of signatory

#### POPIA

By signing this application, I consent, alternatively acknowledge, that I have read and understood the Privacy Policy and I consent, alternatively acknowledge, to the collection, processing, storage, and internal sharing of my personal information by FR and members of the Apex Group, in accordance with the Protection of Personal Information Act, 4 of 2013 (POPIA).

### CONTACT DETAILS

#### Physical Address

Fundrock Collective Investments  
Catnia Building  
Bella Rosa Village  
Bella Rosa Street  
Bellville  
7530

#### Contact us

Tel: +27 21 007 1500/1/2 or +27 21 879 9937/9  
Email: [sa-clientsupport@fundrock.com](mailto:sa-clientsupport@fundrock.com) | [sa-compliance@fundrock.com](mailto:sa-compliance@fundrock.com)  
Visit our website: [www.fundrock.co.za](http://www.fundrock.co.za)

*Should you have any complaints, please send an email to [sa-complaints@fundrock.com](mailto:sa-complaints@fundrock.com)*

**ASISV**

AN ORDINARY MEMBER OF THE ASSOCIATION FOR SAVINGS & INVESTMENT SA

#### Custodian / Trustee

The Standard Bank of South Africa Limited  
Tel: +27 21 441 4100