

BROKER CHANGE FORM INDIVIDUALS/ENTITY

Boutique Collective Investments (RF) (Pty) ("BCI") Ltd is part of the Apex Group Ltd. BCI is a registered Manager of the Boutique Collective Investments Scheme, approved in terms of the Collective Investments Schemes Control Act, No 45 of 2002.

IMPORTANT INFORMATION

- This form is to be used by existing investors only.
- Please read the Terms and Conditions that apply to this investment. This is available from your financial advisor, the Client Service Centre or at www.bcis.co.za.
- Please fax required documents in checklist below to our Client Service Centre - (0)86 502 5319 or email instructions@bci-transact.co.za.
 - Letter from existing client
 - A copy of South African bar-coded ID or valid passport (if foreign national)

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SECTION 1: INVESTOR DETAILS

BCI Investor Number / Client Account Number	<input type="text"/>															
Title	<input type="text"/>															
Surname / Entity Name (e.g company or trust)	<input type="text"/>															
Name of Investor / authorised contact person	<input type="text"/>															
ID or passport number / Registration number	<input type="text"/>															
Telephone (H)	<input type="text"/>										(W)	<input type="text"/>				
Fax	<input type="text"/>										Mobile	<input type="text"/>				
Email	<input type="text"/>															

SECTION 2: APPOINTMENT OF A FINANCIAL ADVISOR *(to be completed by the investor)*

FINANCIAL ADVISOR

Full name and surname	<input type="text"/>
Financial Service Provider name(FSP)	<input type="text"/>
FSP licence number	<input type="text"/>
Financial advisor code	<input type="text"/>
Advisor assistant dealing with this transaction	<input type="text"/>

I confirm that the financial advisor, as authorised representative of the FSP above, is my appointed financial advisor.

Negotiable financial advisor fees

Initial*	<input type="checkbox"/>	.	<input type="checkbox"/>	Maximum 3.0% (excluding VAT), or in the case of money market funds maximum 0.5% (excluding VAT), deducted prior to the investment being made. If it is agreed that no initial fee is payable, please insert 0%.
Ongoing advisory fee**	<input type="checkbox"/>	.	<input type="checkbox"/>	Negotiable to a maximum of 1% (VAT excl), or in the case of money market funds maximum 0.5% (ex VAT) p.a. Advisory fees are withdrawn by way of a unit reduction. This annual advice fee, if any, is in addition to the standard portfolio service charges. If it is agreed that no initial fee is payable, please insert 0%.
Trail Commission %	<input type="checkbox"/>	.	<input type="checkbox"/>	Trail commission is a small percentage of the annual fee charged by brokers or advisers for holding investments. Trail commission or loyalty commission is a fee paid to a distributor by a fund on the investment value which remains with the fund. (If applicable)

Please refer to the table below for maximum permissible combinations of initial and annual advisory fees.

Initial Advice Fee ex VAT *	Maximum ongoing advice fee ex VAT p.a.**
3%	0.50%
between 2% and 3%	0.60%
between 1% and 2%	0.80%
0% - 1%	1.00%

Financial advisor FAIS declaration

Signature of financial advisor	<input type="text"/>	Date	<input type="text"/>	/	<input type="text"/>	/	<input type="text"/>	/	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
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INVESTOR

Discretionary mandate declaration

This section is **only applicable if the FSP above holds a 'Category II' licence** with the FSB and is therefore an approved discretionary FSP. Where the FSP has a Category II FAIS licence and the Investor has mandated the FSP/Representative to act on his/her behalf in terms of a discretionary mandate a copy of the discretionary mandate must be provided. BCI will not act on instructions from the FSP/Representative if not provided.

I confirm that I have entered into a mandate with the above approved discretionary FSP. Yes No

I agree and understand that, in terms of the mandate, the FSP may give BCI investment instructions directly, and I authorise BCI to accept all instructions, including electronic transactions, submitted by the FSP on my behalf. Yes No

I confirm that where the applicant is a minor that I am the legal guardian of the minor, and have consented to the approved FSP processing the personal details of the minor in respect of this application. Yes No

Signature of investor

Date

D	D	/	M	M	/	Y	Y	Y	Y
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SECTION 3: INVESTOR DECLARATION

- + I confirm that all information provided in this form is true and correct.
- + Where this application form is signed in a representative capacity, I confirm that I have the necessary authority to do so.
- + I confirm that BCI may accept instructions from any authorised third-party who has been authorised by me in writing.
- + I have read and understood the relevant fund factsheets (minimum disclosure documents) of the unit trusts I wish to invest in.
- + I have read, understood and agree to the latest **Terms and Conditions** on the BCI website **www.bcis.co.za**.
- + Where signed in the capacity as legal guardian, I explicitly consent to the use of the minor's personal details contained herein.
- + BCI will accept electronic signatures.
- + I hereby indemnify and hold BCI, its affiliates, directors, officers, employees, and agents harmless from and against any and all claims, losses, liabilities, damages, costs, and expenses (including reasonable legal fees) arising from or in connection with the use of electronic signatures, including any fraud, misrepresentation, or unauthorised use by any person.

PRIVACY STATEMENT

Boutique Collective Investments (RF)(Pty) Ltd ("BCI") takes your privacy and the protection of your personal information seriously, and we will only use your personal information in accordance with Applicable Laws and the BCI Privacy Policy. It is important to us that you understand how we obtain, process, store, and share your information. We may disclose your information to other entities in the Apex Group and/or its subsidiaries, agents, partners, or sub-contractors, for purposes as described in this application or related privacy policies. By submitting any personal information to BCI you provide us with your consent to, alternatively acknowledge, the processing and sharing of your personal information and/or that personal information which you have provided that relates to other data subjects, as set out in the Privacy Policy. Please do not submit any personal information to BCI if you do not agree/ object to any of the provisions of the Privacy Policy. Should you object to the Privacy Policy, or parts thereof, BCI may not be able to provide its products and/or services to you. To access the BCI Privacy Policy please click on the [link](#) or on the BCI website **www.bcis.co.za**.

Signature of investor(s) or legal guardian/
Person acting on behalf of investor

Date

D	D	/	M	M	/	Y	Y	Y	Y
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CONTACT DETAILS

+ Physical Address

Boutique Collective Investments
Catnia Building
Bella Rosa Village
Bella Rosa Street
Bellville
7530

+ Contact us

Tel: +27 21 007 1500/1/2 | Fax: +27 (0)86 502 5319
Email: bcis_clientservices@fundrock.com | bcis_compliance@fundrock.com
Visit our website: www.bcis.co.za

Should you have any complaints, please send an email to complaints@bcis.co.za

+ Custodian / Trustee

The Standard Bank of South Africa Limited
Tel: +27 21 441 4100

ASISU

AN ORDINARY MEMBER OF THE ASSOCIATION FOR SAVINGS & INVESTMENT SA